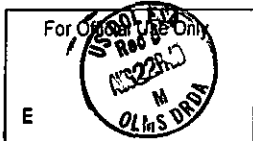


FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P L 86-257 as amended Failure to comply may result in criminal prosecution fines or civil penalties as provided by 29 U S C 439 or 440



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT

1 File Number U <input type="text" value="12484"/>	2 Fiscal Year Covered From <input type="text" value="1"/> / <input type="text" value="1"/> / <input type="text" value="2004"/> Through <input type="text" value="12"/> / <input type="text" value="31"/> / <input type="text" value="2004"/>
3 Name and address of person filing Name <input type="text" value="Frank"/> <input type="text" value="Herrera"/> P O Box Bldg Room No if any <input type="text"/> Street <input type="text" value="3271 Santa Cruz Road"/> City <input type="text" value="West Sacramento"/> State <input type="text" value="California"/> ZIP Code + 4 <input type="text" value="95691"/>	4 Name file number and address of labor organization Name <input type="text" value="Operating Engineers Local Union No 3"/> Labor Organization File Number <input type="text" value="035 651"/> P O Box Building and Room Number if any <input type="text"/> Street <input type="text" value="1620 South Loop Road"/> City <input type="text" value="Alameda"/> State <input type="text" value="California"/> ZIP Code + 4 <input type="text" value="94502"/>
5 Position in labor organization <input type="text"/>	

Enter appropriate data below if during the past fiscal year you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions)

A Held an interest in engaged in transactions (including loans) with or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent	
6 Name and address of Employer (including trade name if any) Name <input type="text"/> Trade Name if any <input type="text"/> P O Box Bldg Room No if any <input type="text"/> Street <input type="text"/> City <input type="text"/> State <input type="text"/> ZIP Code + 4 <input type="text"/>	7 a Nature of Interest Transaction or Income <input type="text"/> 7 b Amount <input type="text"/>

Signature

Frank Herrera

15 Signature and verification The undersigned declares under penalty of Perjury and other applicable penalties of the law that all of the information submitted in this report (including the information contained in any accompanying documents) has been examined by the signatory and is to the best of the undersigned's knowledge and belief true correct and complete (See the section on penalties in the instructions)

Signed

Frank Herrera

On

Date

Telephone Number

Name of Person Filing Frank Herrera	File Number U
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B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

8 Name and address of Business (including trade name if any)

Name Operating Engineers Credit Union

Trade Name if any _____

P O Box Bldg Room No if any _____

Street 250 North Canyons Parkway

City Livermore

State California ZIP Code + 4 94551

9 Business deals with

- ☒ a Labor Organization
- ☐ b Trust
- ☐ c Employer

10 If 9 b or 9 c is checked give trust or employer's name

Name _____

Trade Name if any _____

P O Box Bldg Room No if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

11 a Nature of such dealing

Local Union credit union

11 b Approximate dollar value of such dealing

12 a Nature of interest held or income received

Board meeting fee Expenses for attending the RSM McGladrey Conference

12 b Amount

\$1 501

C Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value

13 a Name and address of Employer or Labor Relations Consultant (including trade name if any)

Name _____

Trade Name if any _____

P O Box Bldg Room No if any _____

Street _____

City _____

State _____ ZIP Code + 4 _____

14 a Nature of payment

13 b Is the Business an Employer ☐ **or Consultant** ☐ **?**

14 b Amount of payment

Name of Person Filing **Frank Herrera**File Number **U****Part B Continuation Page**

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

8 Name and address of Business (including trade name if any)Name **McMorgan & Co**

Trade Name if any

P O Box Bldg Room No if any

Street **1 Bush Street Suite 800**City **San Francisco**State **California**ZIP Code + 4 **94104****10 If 9 b or 9 c is checked give trust or employer's name**

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State

ZIP Code + 4

9 Business deals with☒ **a Labor Organization**☐ **b Trust**☐ **c Employer****11 a Nature of such dealing****Local Union s investment manager****11 b Approximate dollar value of such dealing****12 a Nature of interest held or income received****Attended lunches dinners and other events hosted by McMorgan & Co****12 b Amount****\$1 303**

Name of Person Filing Frank Herrera	File Number U
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Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

<p>8 Name and address of Business (including trade name if any)</p> <p>Name Associated Third Party Administrators</p> <p>Trade Name if any ATPA</p> <p>P O Box Bldg Room No if any</p> <p>Street 1640 South Loop Road</p> <p>City Alameda</p> <p>State California ZIP Code + 4 94502</p>	<p>9 Business deals with</p> <p><input type="checkbox"/> a Labor Organization</p> <p><input checked="" type="checkbox"/> b Trust</p> <p><input type="checkbox"/> c Employer</p>
<p>10 If 9 b or 9 c is checked give trust or employer's name</p> <p>Name Operating Engineers Trust Funds</p> <p>Trade Name if any</p> <p>P O Box Bldg Room No if any</p> <p>Street 1640 South Loop Road</p> <p>City Alameda</p> <p>State California ZIP Code + 4 94502</p>	<p>11 a Nature of such dealing</p> <p>Provides third party administration services for the Local Union s related pension and welfare trust funds</p> <p>11 b Approximate dollar value of such dealing</p> <p>12 a Nature of interest held or income received</p> <p>Attended lunches dinners and other events hosted by ATPA</p> <p>12 b Amount \$540</p>

Name of Person Filing **Frank Herrera**File Number **U****Part B Continuation Page**

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

8 Name and address of Business (including trade name if any)Name **Operating Engineers Pension Trust Fund**

Trade Name if any

P O Box Bldg Room No if any

Street **1640 South Loop Road**City **Alameda**State **California**ZIP Code + 4 **94502****9 Business deals with**☒ **a Labor Organization**☐ **b Trust**☐ **c Employer****10 If 9 b or 9 c is checked give trust or employer's name**

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State

ZIP Code + 4

11 a Nature of such dealing**Local Union s pension trust fund****11 b Approximate dollar value of such dealing****12 a Nature of interest held or income received****Meeting expense for IF Institute for Investment
IFEBP Conference****12 b Amount****\$4 558**

Name of Person Filing Frank Herrera

File Number U

Part B Continuation Page

B Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from selling or leasing to or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent or (2) any part of which consists of buying from or selling or leasing directly or indirectly to or otherwise dealing with your labor organization or with a trust in which your labor organization is interested

8 Name and address of Business (including trade name if any)

Name Operating Engineers Local Union No 3

Trade Name if any Trust Funds

P O Box Bldg Room No if any

Street 1640 So Loop Rd

City Alameda

State California

ZIP Code + 4 94502

9 Business deals with

☒ a Labor Organization☐ b Trust☐ c Employer

10 If 9 b or 9 c is checked give trust or employer's name

Name

Trade Name if any

P O Box Bldg Room No if any

Street

City

State

ZIP Code + 4

11 a Nature of such dealing

Local Union s Trust Fund

11 b Approximate dollar value of such dealing

12 a Nature of interest held or income received

Meeting regarding Trust Fund issues

12 b Amount

\$61